

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re Patent Application of:  
Yoshiaki Kisaka et al.

Application No.: 10/643,017

Confirmation No.: 2087

Filed: August 15, 2003

Art Unit: 2613

For: Polarization Mode Dispersion  
Compensation Method And Polarization  
Mode Dispersion Compensation Device

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Examiner: N. M. Curs

**SUBMISSION OF CORRECTED INFORMATION  
DISCLOSURE STATEMENT BY APPLICANT (FORM PTO SB/08)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby submit a Corrected Information Disclosure Statement by Applicant with the following correction to Cite No. CA:

**Y. Kisaka et al., "First-and higher-order PMD tolerance of carrier-suppressed return-to-zero format with forward error correction", Technical report of IEICE, vol. 101, No. 649, p. 49-54, 2002, OSC2001-118**

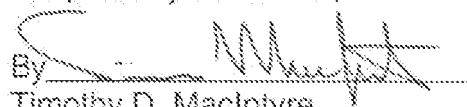
A copy of the original Information Disclosure Statement and Information Disclosure Statement by Applicant is enclosed for your convenience.

Accordingly, please replace the original Information Disclosure Statement by Applicant with the Corrected Information Disclosure Statement by Applicant.

Applicant believes no fee is due with this response. However, if a fee is due, please charge our Deposit Account No. 08-0750, under Order No. 5259-000030/US/01 from which the undersigned is authorized to draw.

Dated: May 18, 2007

Respectfully submitted,

By 

Timothy D. MacIntyre

Registration No.: 42,824

HARNESS, DICKEY & PIERCE, P.L.C.

P.O. Box 828

Bloomfield Hills, Michigan 48303

(248) 641-1600

Attorney for Applicant

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO  <b>CORRECTED INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>		
				Application Number	10/643,017-Conf. #2087	
				Filing Date	August 15, 2003	
				First Named Inventor	Yoshiaki Kisaka	
				Art Unit	2613	
Examiner Name	N. M. Curs					
Attorney Docket Number	5259-000030/US/01					
Sheet	1	of	1			

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	2002-89724	07-11-2002	Nishimoto et al.	
	AB	2004-114936	06-17-2004	Sugihara et al.	
	AC	2003-56159	03-20-2003	Hashida et al.	
	AD	2002-145787	10-10-2002	Shpantzer et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	JP-2002-208892, corresponding to AA above	07-26-2002	Fujitsu Ltd		
	BB	JP-2003-224523, corresponding to AB above	08-08-2003	Mitsubishi Electric Corp		
	BC	JP-2003-92554, corresponding to AC above	03-28-2003	Hashida et al.		
	BD	JP-2004-511128, corresponding to AD above	04-08-2004			
	BE	JP-2002-33701**	01-31-2002	Ooi et al.		

\*\* corresponds to US 2002-18266, previously submitted

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	Y, Kisaka et al., "First-and higher-order PMD tolerance of carrier-suppressed return-to-zero format with forward error correction". Technical report of IEICE, vol. 101, No. 649, p 49-54, 2002, OSC2001-116	

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Examiner Signature		Date Considered	
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Docket No.: 5259-000030/US/01  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Yoshiaki Kisaka et al.

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Examiner: N. M. Curs

**INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed more than three months after the U.S. filing date, OR more than three months after the date of entry of the national stage of a PCT application, AND after the mailing date of the first Office Action on the merits, whichever occurs first, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)).

I hereby certify, pursuant to 37 CFR 1.97(e)(1), that each item of information contained in this Information Disclosure Statement was first cited in any communication

from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

In accordance with 37 CFR 1.98(a)(2)(ii), Applicant has not submitted copies of U.S. patents and U.S. patent applications. Applicant submits herewith copies of foreign patents and non-patent literature in accordance with 37 CFR 1.98(a)(2).

A concise explanation of relevance of the items listed on form PTO/SB/08 is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this

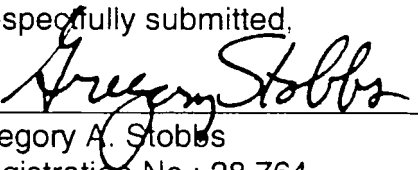
Application No.: 10/643,017

Docket No.: 5259-000030/US/01

application by this firm) to our Deposit Account No. 08-0750, under Order No. 5259-000030/US/01.

Dated: April 23, 2007

Respectfully submitted,

By 

Gregory A. Stobbs

Registration No.: 28,764

HARNESS, DICKEY & PIERCE, P.L.C.

P.O. Box 828

Bloomfield Hills, Michigan 48303

(248) 641-1214

Attorney for Applicant

Substitute for form 1449A/B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>			<b>Complete if Known</b>		
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Sheet	1	of	1	Attorney Docket Number	5259-000030/US/01

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